

**UNITED STATES BANKRUPTCY COURT  
MIDDLE DISTRICT OF NORTH CAROLINA  
WINSTON SALEM DIVISION**

In re: ALEVO USA, INC.

§ Case No. 17-50876

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Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY  
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

James Lanik, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$0.00</u>	Assets Exempt: <u>N/A</u>
Total Distributions to Claimants: <u>\$0.00</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$1,246,993.19</u>	

3) Total gross receipts of \$1,246,993.19 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$1,246,993.19 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$660,000.00	\$10,136,776.23	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	\$0.00	\$1,303,090.70	\$1,303,291.63	\$1,246,993.19
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	\$0.00	\$1,774,517.04	\$1,774,517.04	\$0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	\$156,308.99	\$200,970.16	\$200,970.16	\$0.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	\$57,686,094.72	\$297,666,290.08	\$307,677,385.31	\$0.00
<b>TOTAL DISBURSEMENTS</b>	\$58,502,403.71	\$311,081,644.21	\$310,956,164.14	\$1,246,993.19

4) This case was originally filed under chapter 11 on 08/18/2017, and it was converted to chapter 7 on 02/14/2018. The case was pending for 43 months.

5) A copy of the final bank statement of the estate, reflecting a zero balance, has been submitted to the Bankruptcy Administrator.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 08/31/2021

By: /s/ James Lanik  
Trustee, Bar No.: 30454

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 – GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
Checking Account at Bank of America, N.A., xxxxxx3251	1129-000	\$8,645.58
Interest in subsidiaries as shown on the attached Schedule. None of the subsidiaries or sub-subsidiaries have any value	1129-000	\$100,000.00
Unencumbered funds pursuant to Order #412 in Case #17-50877	1129-000	\$12,500.00
Federal Tax Refund - 2016	1224-000	\$230,000.00
Federal Tax Refund - 2017	1224-000	\$8,144.50
Tax Refund - 2015	1224-000	\$42,278.76
Remnant Assets as Listed in Doc No. 118	1229-000	\$12,500.00
Preferential Transfer Settlement - CNP Technologies, LLC	1241-000	\$3,498.82
Preferential Transfer Settlement - Customized Energy Solutions, Ltd.	1241-000	\$7,823.06
Preferential Transfer Settlement - Latham Watkins	1241-000	\$13,000.00
Preferential Transfer Settlement - Red Pepper Group	1241-000	\$3,500.00
Settlement on Directors and Officers Claims	1249-000	\$800,000.00
Settlement payment - J Turbines	1249-000	\$5,000.00
Rebate - Wells Fargo FX Transaction Rate	1290-000	\$102.47
<b>TOTAL GROSS RECEIPTS</b>		<b>\$1,246,993.19</b>
<sup>1</sup> The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.		

**EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		

**EXHIBIT 3 - SECURED CLAIMS**

<b>Claim NO.</b>	<b>CLAIMANT</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED (from Form 6D)</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
17S-2	Bootsmead LeaseCo, LLC	4110-000	NA	\$10,011,095.23	\$0.00	\$0.00
19	Fire and Life Safety America, Inc.	4110-000	NA	\$125,681.00	\$0.00	\$0.00
N/F	Alevo Group S.A.	4110-000	\$660,000.00	NA	NA	NA
<b>TOTAL SECURED</b>			<b>\$660,000.00</b>	<b>\$10,136,776.23</b>	<b>\$0.00</b>	<b>\$0.00</b>

**EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

<b>PAYEE</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
Trustee, Fees - James C. Lanik, Trustee	2100-000	NA	\$55,859.80	\$55,859.80	\$0.00
Trustee, Expenses - James C. Lanik, Trustee	2200-000	NA	\$3,006.64	\$3,006.64	\$3,006.64
Attorney for Trustee Fees - Roberson Haworth & Reese, PLLC	3110-000	NA	\$5,580.00	\$5,580.00	\$5,530.23
Attorney for Trustee Fees - Waldrep LLP	3110-000	NA	\$41,709.00	\$41,709.00	\$41,336.91
Attorney for Trustee Fees - Waldrep Wall Babcock and Bailey PLLC	3110-000	NA	\$1,880.50	\$1,880.50	\$1,863.72
Attorney for Trustee, Expenses - Roberson Haworth & Reese, PLLC	3120-000	NA	\$363.24	\$363.24	\$363.24
Attorney for Trustee, Expenses - Waldrep LLP	3120-000	NA	\$413.52	\$413.52	\$413.52
Auctioneer Fees - Iron Horse Auction Company, Inc.	3610-000	NA	\$900.00	\$900.00	\$900.00
Charges, U.S. Bankruptcy Court	2700-000	NA	\$181.00	\$181.00	\$181.00
Bond Payments - International Sureties, Ltd.	2300-000	NA	\$0.00	\$200.93	\$200.93
Costs to Secure/Maintain Property - CubeSmart	2420-000	NA	\$52.00	\$52.00	\$52.00
Costs to Secure/Maintain Property - CubeSmart 2018	2420-000	NA	\$266.61	\$266.61	\$266.61
Costs to Secure/Maintain Property - Amazon Web Services	2420-000	NA	\$14,540.81	\$14,540.81	\$14,540.81
Costs to Secure/Maintain Property - PegEx, Inc.	2420-000	NA	\$6,647.91	\$6,647.91	\$6,647.91
Costs to Secure/Maintain Property - Reliance Forensics, LLC	2420-000	NA	\$80.92	\$80.92	\$80.92
Costs to Secure/Maintain Property - Truusted Services, LLC	2420-000	NA	\$1,552.50	\$1,552.50	\$1,552.50
Banking and Technology Service Fee - Metropolitan Commercial Bank	2600-000	NA	\$1,187.13	\$1,187.13	\$1,187.13
Banking and Technology Service Fee - Rabobank, N.A.	2600-000	NA	\$78.14	\$78.14	\$78.14
Chapter 7 Operating Case Expenses - BK Attorney Services, LLC	2690-000	NA	\$1,501.89	\$1,501.89	\$1,501.89
Other Chapter 7 Administrative Expenses - Alevo Manufacturing, Inc.	2990-000	NA	\$160,000.00	\$160,000.00	\$160,000.00
Superpriority Administrative Expenses--§ 364(c)(1) and § 507(b) - Bootsmead LeaseCo, LLC	2990-800	NA	\$769,416.92	\$769,416.92	\$769,416.92

Special Counsel for Trustee Fees - Nexsen Pruet PLLC	3210-600	NA	\$205,768.03	\$205,768.03	\$205,768.03
Special Counsel for Trustee Expenses - Nexsen Pruet PLLC	3220-610	NA	\$2,695.82	\$2,695.82	\$2,695.82
Accountant for Trustee Fees (Other Firm) - Edward P. Bowers	3410-000	NA	\$20,425.76	\$20,425.76	\$20,425.76
Accountant for Trustee Expenses (Other Firm) - Edward P. Bowers	3420-000	NA	\$287.98	\$287.98	\$287.98
Other Professional Fees - Reliance Forensics, LLC	3991-000	NA	\$8,694.58	\$8,694.58	\$8,694.58
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		<b>NA</b>	<b>\$1,303,090.70</b>	<b>\$1,303,291.63</b>	<b>\$1,246,993.19</b>

**EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

<b>PAYEE</b>	<b>UNIFORM TRAN. CODE</b>	<b>CLAIMS SCHEDULED</b>	<b>CLAIMS ASSERTED</b>	<b>CLAIMS ALLOWED</b>	<b>CLAIMS PAID</b>
Prior Chapter Other State or Local Taxes - Cabarrus County Tax Collector	6820-000	NA	\$848.96	\$848.96	\$0.00
Administrative Rent (post-petition storage fees, leases) - Bootsmead LeaseCo, LLC	6920-000	NA	\$1,773,668.08	\$1,773,668.08	\$0.00
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		<b>\$0.00</b>	<b>\$1,774,517.04</b>	<b>\$1,774,517.04</b>	<b>\$0.00</b>

**EXHIBIT 6 – PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	CABARRUS COUNTY TAX COLLECTIONS	5800-000	\$20,707.99	\$20,707.99	\$20,707.99	\$0.00
2P	Internal Revenue Service Office of Chief Counsel	5800-000	NA	\$110,629.73	\$110,629.73	\$0.00
10P	Fastenal Companyq	5800-000	NA	\$249.19	\$249.19	\$0.00
12	10436 Grobie Way	5800-000	NA	\$478.12	\$478.12	\$0.00
14	Suthan Kailasapillai	5800-000	\$4,697.36	\$5,625.00	\$5,625.00	\$0.00
30	Amelia Langford	5800-000	\$2,089.72	\$1,980.77	\$1,980.77	\$0.00
31P	Internal Revenue Service Office of Chief Counsel	5800-000	NA	\$43,936.75	\$43,936.75	\$0.00
34	Joel Dean Prevette	5800-000	\$2,810.51	\$2,810.51	\$2,810.51	\$0.00
40	Jake Wetzel	5800-000	\$689.68	\$1,702.10	\$1,702.10	\$0.00
45P	Robert Blackburn	5800-000	\$3,209.36	\$12,850.00	\$12,850.00	\$0.00
N/F	Alison Sloop	5600-000	\$1,025.84	NA	NA	NA
N/F	Aminata Dent	5600-000	\$624.31	NA	NA	NA
N/F	Andrew Misenheimer	5600-000	\$1,770.92	NA	NA	NA
N/F	Benjamin Lowe	5600-000	\$4,095.37	NA	NA	NA
N/F	Bonita Powe	5600-000	\$283.17	NA	NA	NA
N/F	Carmen Rivas	5600-000	\$600.53	NA	NA	NA
N/F	Chad Bunker	5600-000	\$198.40	NA	NA	NA

N/F	Christina Unneberg	5600-000	\$256.22	NA	NA	NA
N/F	Clayborne Taylor	5600-000	\$3,092.52	NA	NA	NA
N/F	Craig Libman	5600-000	\$4,796.58	NA	NA	NA
N/F	Cristian Cochechi	5600-000	\$1,952.95	NA	NA	NA
N/F	David Keefer	5600-000	\$5,710.22	NA	NA	NA
N/F	Internal Revenue Service	5600-000	\$0.00	NA	NA	NA
N/F	Jake Wetzel	5600-000	\$1,295.79	NA	NA	NA
N/F	Jason Callaway	5600-000	\$1,639.24	NA	NA	NA
N/F	Jason Norman	5600-000	\$1,423.17	NA	NA	NA
N/F	Jay G Johnson	5600-000	\$867.68	NA	NA	NA
N/F	Jeffrey Drake	5600-000	\$9,133.52	NA	NA	NA
N/F	Jeffrey Gates	5600-000	\$14,884.89	NA	NA	NA
N/F	Jhonatam Cordeiro Rodrigues	5600-000	\$1,674.75	NA	NA	NA
N/F	John Kless	5600-000	\$2,887.50	NA	NA	NA
N/F	Kelly Scallon	5600-000	\$1,183.42	NA	NA	NA
N/F	Kimberly A Hadlock	5600-000	\$1,884.65	NA	NA	NA
N/F	Malik Henry	5600-000	\$653.83	NA	NA	NA
N/F	Michael Morrison	5600-000	\$3,204.95	NA	NA	NA
N/F	North Carolina Department of	5600-000	\$0.00	NA	NA	NA
N/F	Peter Heintzelman	5600-000	\$14,096.08	NA	NA	NA
N/F	Randell Johnson	5600-000	\$12,569.00	NA	NA	NA



N/F	Ricardo Gutierrez Ochoa	5600-000	\$1,465.47	NA	NA	NA
N/F	Robert Clary	5600-000	\$4,568.01	NA	NA	NA
N/F	Scott Schotter	5600-000	\$13,496.88	NA	NA	NA
N/F	Sravani Kuruganti	5600-000	\$1,693.85	NA	NA	NA
N/F	Stein Christiansen	5600-000	\$508.85	NA	NA	NA
N/F	Stephen Trabucco	5600-000	\$4,006.44	NA	NA	NA
N/F	U.S. Securities & Exchange	5600-000	\$0.00	NA	NA	NA
N/F	Vessel Wilson	5600-000	\$515.31	NA	NA	NA
N/F	Yin Lei	5600-000	\$2,074.96	NA	NA	NA
N/F	Yu Xia	5600-000	\$1,969.10	NA	NA	NA
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$156,308.99</b>	<b>\$200,970.16</b>	<b>\$200,970.16</b>	<b>\$0.00</b>

**EXHIBIT 7 – GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
2U	Internal Revenue Service Office of Chief Counsel	7100-000	NA	\$2,800.00	\$2,800.00	\$0.00
3	Carterbaldwin	7100-000	\$65,966.01	\$65,966.01	\$65,966.01	\$0.00
4	Accrue Partners	7100-000	\$18,000.00	\$18,000.00	\$18,000.00	\$0.00
5	Rocus Group, LLC	7100-000	\$2,405.97	\$2,405.97	\$2,405.97	\$0.00
6	Staples Attn: Daneen Lotsey	7100-000	NA	\$41,091.25	\$41,091.25	\$0.00
7	Kuehne + Nagel Services Ltd Coface North America Insurance Company	7100-000	\$9,368.92	\$9,368.92	\$9,368.92	\$0.00
8	Norton Rose Fulbright US LLP	7100-000	\$270.00	\$0.00	\$0.00	\$0.00
9	Liacouras & Smith LLP	7100-000	\$3,130.00	\$3,130.00	\$3,130.00	\$0.00
10U	Fastenal Companyq	7100-000	NA	\$10,667.52	\$10,667.52	\$0.00
11	Thomas P. O'Leary	7100-000	\$19,184.34	\$136,812.00	\$136,812.00	\$0.00
13	Cellco Partnership d/b/a Verizon Wireless, on beha William Vermette	7100-000	NA	\$5,570.79	\$5,570.79	\$0.00
15	Epstein Becker & Green, P.C.	7100-000	\$16,086.50	\$16,086.50	\$16,086.50	\$0.00
16	ZOHO Corporation	7100-000	\$15,611.00	\$15,611.00	\$15,611.00	\$0.00
17U-2	Bootsmead LeaseCo, LLC	7100-000	NA	\$290,561,744.44	\$300,572,839.67	\$0.00
18	Robert C Jones, Jr	7100-000	\$5,154.19	\$13,657.85	\$13,657.85	\$0.00

20	North Carolina Department of Revenue Bankruptcy Unit	7100-000	\$97.00	\$3,722.79	\$3,722.79	\$0.00
21	Kiwi Power Battery Ltd. c/o Nippon Koei Co., Ltd. Attn: Tatsuhiko Yasukochi	7100-000	NA	\$0.00	\$0.00	\$0.00
22	ORNI 54 LLC c/o John D. Penn Perkins Coie LLP	7100-000	NA	\$2,000,000.00	\$2,000,000.00	\$0.00
23	Ormat Nevada Inc. c/o John D. Penn Perkins Coie LLP	7100-000	NA	\$2,000,000.00	\$2,000,000.00	\$0.00
24	EMA Design Automation, Inc.	7100-000	\$7,276.00	\$7,726.00	\$7,726.00	\$0.00
25	L&T Technology Services Limited	7100-000	\$41,155.00	\$41,155.00	\$41,155.00	\$0.00
26	Alevo USA, Inc. Liberty Mutual Insurance	7100-000	NA	\$0.00	\$0.00	\$0.00
27	Davidson, Holland, Whitesell & Co., PLLC	7100-000	\$3,997.50	\$3,945.00	\$3,945.00	\$0.00
28	Century Contractors, Inc.	7100-000	NA	\$2,213,040.01	\$2,213,040.01	\$0.00
29	Let It Shine Cleaning Services	7100-000	\$1,020.00	\$3,360.00	\$3,360.00	\$0.00
31	Internal Revenue Service Office of Chief Counsel	7100-000	NA	\$0.00	\$0.00	\$0.00
31U	Internal Revenue Service Office of Chief Counsel	7100-000	NA	\$2,200.00	\$2,200.00	\$0.00
32	HarrisLandscaping & Irrigation, Inc	7100-000	NA	\$39,427.10	\$39,427.10	\$0.00
33	Summit Filtration, LLC	7100-000	\$7,909.69	\$7,909.69	\$7,909.69	\$0.00
35	EMA Design Automation, Inc.	7100-000	NA	\$7,726.00	\$7,726.00	\$0.00
36	Verizon by American InfoSource LP as agent	7100-000	NA	\$2,205.00	\$2,205.00	\$0.00

37	Verizon by American InfoSource LP as agent	7100-000	NA	\$1,270.94	\$1,270.94	\$0.00
38	Verizon by American InfoSource LP as agent	7100-000	NA	\$869.58	\$869.58	\$0.00
39	AxiCom Ltd	7100-000	\$1,267.38	\$11,087.21	\$11,087.21	\$0.00
41	Customized Energy Solutions	7100-000	\$94,434.00	\$63,654.00	\$63,654.00	\$0.00
42	Chetola Resort & Conference Center	7100-000	\$27,270.96	\$27,270.96	\$27,270.96	\$0.00
43	Larus Arnason	7100-000	\$0.00	\$317,973.00	\$317,973.00	\$0.00
44	Dickinson Wright PLLC c/o James A. Plemmons, Esq.	7100-000	\$4,063.25	\$4,063.25	\$4,063.25	\$0.00
45U	Robert Blackburn	7200-000	\$1,835.59	\$4,772.30	\$4,772.30	\$0.00
N/F	8X8, Inc.	7100-000	\$0.00	NA	NA	NA
N/F	ADP Payroll	7100-000	\$2,791.01	NA	NA	NA
N/F	ADP Screening & Selection Svc	7100-000	\$165.45	NA	NA	NA
N/F	Accu-Tech Corporation	7100-000	\$932.76	NA	NA	NA
N/F	Afton Ridge Apartments	7100-000	\$0.00	NA	NA	NA
N/F	Alejandro J. Rios	7100-000	\$0.00	NA	NA	NA
N/F	Alevo Group S.A. c/o Markus Adler	7100-000	\$56,709,848.00	NA	NA	NA
N/F	Alison Sloop	7100-000	\$452.36	NA	NA	NA
N/F	American Express	7100-000	\$0.00	NA	NA	NA
N/F	Andrew Misenheimer	7100-000	\$377.99	NA	NA	NA
N/F	Angela Brady-Williams	7100-000	\$2,700.00	NA	NA	NA

N/F	Arthur Gallagher First Insurance	7100-000	\$0.00	NA	NA	NA
N/F	AvMed	7100-000	\$0.00	NA	NA	NA
N/F	Benjamin Lowe	7100-000	\$4,241.93	NA	NA	NA
N/F	Bill.com	7100-000	\$10,250.00	NA	NA	NA
N/F	Blue Cross Blue Shield North Carolina	7100-000	\$0.00	NA	NA	NA
N/F	Bootsmead LeaseCo, LLC	7100-000	\$0.00	NA	NA	NA
N/F	Buzzsumocom	7100-000	\$0.00	NA	NA	NA
N/F	CNP Technologies, LLC	7100-000	\$775.21	NA	NA	NA
N/F	CareerBuilder, LLC	7100-000	\$500.00	NA	NA	NA
N/F	Carmen Rivas	7100-000	\$51.56	NA	NA	NA
N/F	Carolinas Healthcare System	7100-000	\$1,315.94	NA	NA	NA
N/F	Chadbourn & Parke LLP	7100-000	\$20,436.20	NA	NA	NA
N/F	Cintas Corporation	7100-000	\$422.36	NA	NA	NA
N/F	Citrix (GoToMeeting)	7100-000	\$0.00	NA	NA	NA
N/F	City of Concord - Utility	7100-000	\$52.39	NA	NA	NA
N/F	City of Hagerstown	7100-000	\$25,000.00	NA	NA	NA
N/F	Clayborne Taylor	7100-000	\$5,257.18	NA	NA	NA
N/F	Cloudflare, Inc.	7100-000	\$0.00	NA	NA	NA
N/F	Comcast Business	7100-000	\$190.15	NA	NA	NA
N/F	Communication Supply Corporation	7100-000	\$29.43	NA	NA	NA
N/F	Cort Furniture Rental	7100-000	\$438.79	NA	NA	NA

N/F	Cristian Cochechi	7100-000	\$1,079.89	NA	NA	NA
N/F	Deloitte Tax LLP	7100-000	\$34,320.00	NA	NA	NA
N/F	Dingman IP Law PC	7100-000	\$5,791.62	NA	NA	NA
N/F	Dropbox Inc.	7100-000	\$0.00	NA	NA	NA
N/F	Duke Energy	7100-000	\$138.88	NA	NA	NA
N/F	Facebook	7100-000	\$0.00	NA	NA	NA
N/F	Federal Express	7100-000	\$58.57	NA	NA	NA
N/F	GGP-Mizner Park, LLC-Office Series	7100-000	\$0.00	NA	NA	NA
N/F	GoDaddy.com	7100-000	\$0.00	NA	NA	NA
N/F	Grant Thornton LLP	7100-000	\$38,814.00	NA	NA	NA
N/F	Greenview Data	7100-000	\$0.00	NA	NA	NA
N/F	H4 Hotel Munchen Messe	7100-000	\$0.00	NA	NA	NA
N/F	Hagerstown Light Department	7100-000	\$0.00	NA	NA	NA
N/F	HealthEquity	7100-000	\$0.00	NA	NA	NA
N/F	Highland Mill Loft	7100-000	\$0.00	NA	NA	NA
N/F	Hunter Starter	7100-000	\$0.00	NA	NA	NA
N/F	Huntzberry Brothers Inc.	7100-000	\$16,280.09	NA	NA	NA
N/F	InfoGroup Sales	7100-000	\$0.00	NA	NA	NA
N/F	Intuit	7100-000	\$0.00	NA	NA	NA
N/F	JS Brands	7100-000	\$0.00	NA	NA	NA
N/F	Jason Callaway	7100-000	\$200.00	NA	NA	NA

N/F	Jay G Johnson	7100-000	\$3,491.56	NA	NA	NA
N/F	Jeffrey Drake	7100-000	\$18,135.46	NA	NA	NA
N/F	Jeffrey Gates	7100-000	\$22,189.31	NA	NA	NA
N/F	Jeffrey Gates	7100-000	\$2,446.33	NA	NA	NA
N/F	Jhonatam Cordeiro Rodrigues	7100-000	\$876.69	NA	NA	NA
N/F	Joel Prevette	7100-000	\$699.12	NA	NA	NA
N/F	John Kless	7100-000	\$888.46	NA	NA	NA
N/F	Kelly Scallon	7100-000	\$1,137.69	NA	NA	NA
N/F	Kimberly A Hadlock	7100-000	\$5,773.97	NA	NA	NA
N/F	Kimberly Slade	7100-000	\$175.00	NA	NA	NA
N/F	Latham & Watkins LLP	7100-000	\$33,047.50	NA	NA	NA
N/F	Leader Group	7100-000	\$1,000.00	NA	NA	NA
N/F	Legacy Concord Land, LLC	7100-000	\$2,959.95	NA	NA	NA
N/F	Linkedin	7100-000	\$1,986.02	NA	NA	NA
N/F	Liquid Web Cloud	7100-000	\$150.00	NA	NA	NA
N/F	Lockton Company LLC Attn: Douglas Hutcherson	7100-000	\$0.00	NA	NA	NA
N/F	MSS Solutions, LLC	7100-000	\$7,511.40	NA	NA	NA
N/F	MailChimp c/o The Rocket Science Group, LLC	7100-000	\$0.00	NA	NA	NA
N/F	McIntire HVAC & Electric	7100-000	\$171.00	NA	NA	NA
N/F	Messe Munchen GmbH	7100-000	\$0.00	NA	NA	NA

N/F	Michael Morrison	7100-000	\$14.00	NA	NA	NA
N/F	Michael Morrison	7100-000	\$2,970.95	NA	NA	NA
N/F	Microsoft Corporation	7100-000	\$14,571.29	NA	NA	NA
N/F	Nancy Pierce	7100-000	\$400.00	NA	NA	NA
N/F	Narrow Gate Energy	7100-000	\$28,987.58	NA	NA	NA
N/F	National Corporate Research LTD	7100-000	\$125.00	NA	NA	NA
N/F	ORMAT Technologies, Inc.	7100-000	\$0.00	NA	NA	NA
N/F	Parker Hannifin Corporation	7100-000	\$8,863.59	NA	NA	NA
N/F	Peter Heintzelman	7100-000	\$2,560.09	NA	NA	NA
N/F	Peter Heintzelman	7100-000	\$8,643.95	NA	NA	NA
N/F	Piedmont Natural Gas Co, Inc.	7100-000	\$17.49	NA	NA	NA
N/F	PierceGray	7100-000	\$65,387.54	NA	NA	NA
N/F	Power Drives Inc.	7100-000	\$4,204.85	NA	NA	NA
N/F	Power Drives Inc.	7100-000	\$4,204.85	NA	NA	NA
N/F	Principal Life	7100-000	\$0.00	NA	NA	NA
N/F	Print2CAD	7100-000	\$0.00	NA	NA	NA
N/F	Randell Johnson	7100-000	\$31,195.25	NA	NA	NA
N/F	Randell Johnson	7100-000	\$4,764.54	NA	NA	NA
N/F	Renee B. Colson	7100-000	\$0.00	NA	NA	NA
N/F	Robert Clary	7100-000	\$1,497.24	NA	NA	NA
N/F	Robert Jones	7100-000	\$9,390.14	NA	NA	NA



N/F	SHI International Corporation	7100-000	\$18,128.96	NA	NA	NA
N/F	Scott Schotter	7100-000	\$53,442.31	NA	NA	NA
N/F	Skyline Exhibits & Events	7100-000	\$18,521.05	NA	NA	NA
N/F	Solar Promotion GmbH	7100-000	\$10,110.80	NA	NA	NA
N/F	Spectrum	7100-000	\$115.96	NA	NA	NA
N/F	Spyfu	7100-000	\$0.00	NA	NA	NA
N/F	Sravani Kuruganti	7100-000	\$550.77	NA	NA	NA
N/F	Suthan Kailasapillai	7100-000	\$7,849.06	NA	NA	NA
N/F	TEKsystems, Inc.	7100-000	\$6,320.00	NA	NA	NA
N/F	Tasc	7100-000	\$0.00	NA	NA	NA
N/F	The Employers Association	7100-000	\$1,897.00	NA	NA	NA
N/F	The Speedway Club	7100-000	\$4.26	NA	NA	NA
N/F	Thomas O'Leary	7100-000	\$37,963.58	NA	NA	NA
N/F	Time Warner Cable	7100-000	\$0.00	NA	NA	NA
N/F	Time Warner Cable (Highland Mills)	7100-000	\$0.00	NA	NA	NA
N/F	Time Warner Cable (VIP)	7100-000	\$0.00	NA	NA	NA
N/F	Traction Sales and Marketing Inc.	7100-000	\$1,380.00	NA	NA	NA
N/F	Twitter	7100-000	\$0.00	NA	NA	NA
N/F	USABLE Life	7100-000	\$0.00	NA	NA	NA
N/F	Ventured Media	7100-000	\$8,934.48	NA	NA	NA
N/F	Verizon	7100-000	\$417.63	NA	NA	NA

N/F	WP Stagecoach.com	7100-000	\$0.00	NA	NA	NA
N/F	Walker Marketing Inc.	7100-000	\$250.00	NA	NA	NA
N/F	Wistia, Inc.	7100-000	\$0.00	NA	NA	NA
N/F	Wufoo c/o Survey Monkey	7100-000	\$0.00	NA	NA	NA
N/F	Yes Energy Management	7100-000	\$206.11	NA	NA	NA
N/F	Yin Lei	7100-000	\$874.46	NA	NA	NA
N/F	Yu Xia	7100-000	\$275.42	NA	NA	NA
N/F	eFax Services	7100-000	\$0.00	NA	NA	NA
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$57,686,094.72</b>	<b>\$297,666,290.08</b>	<b>\$307,677,385.31</b>	<b>\$0.00</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 1

Case No.: 17-50876

Case Name: ALEVO USA, INC.

Trustee Name: (530270) James Lanik

Date Filed (f) or Converted (c): 02/14/2018 (c)

§ 341(a) Meeting Date: 03/30/2018

For Period Ending: 08/31/2021

Claims Bar Date: 05/15/2018

1 Ref. #	Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=§554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets	7 Lien Amount	8 Exempt Amount
1	Checking Account at Wells Fargo Bank, N.A., xxxxxx4160 DIP accounts opened	19,484.64	19,484.64		0.00	FA	0.00	0.00
2	Checking Account at Bank of America, N.A., xxxxxx3251 DIP bank account. Account closed by Trustee on 3/4/19 and funds deposited into Chapter 7 bankruptcy.	1,042.33	1,042.33		8,645.58	FA	0.00	0.00
3	Investment Account at Bank of America, N.A., xxxxxx3468 DIP accounts opened	1,000.74	1,000.74		0.00	FA	0.00	0.00
4	Checking Account at Wells Fargo Bank, N.A., xxxxxx0910 DIP accounts opened	441.00	441.00		0.00	FA	0.00	0.00
5	A/R Over 90 days old. Face amount = \$0.00. Doubtful/Uncollectible accounts = \$0.00. Some A/R collected during Chapter 11 bankruptcy. A/R encumbered by DIP Loan.	0.00	0.00		0.00	FA	0.00	0.00
6	A/R Over 90 days old. Face amount = \$118,000.00. Doubtful/Uncollectible accounts = \$0.00. Some A/R collected during Chapter 11 bankruptcy. A/R encumbered by DIP loan.	118,000.00	118,000.00		0.00	FA	0.00	0.00
7	Interest in subsidiaries as shown on the attached Schedule. None of the subsidiaries or sub- subsidiaries have any value or meaningful income other than ESS Snook Project, LLC. This entity owns a GridBank located in Hagerstown, Maryland with an estimated FMV of \$1 million. There are currently no liens on the assets of ESS Snook Project, LLC. It has several obligations for rent of property and utilities. The GridBank should soon be producing revenue that will benefit the Debtor. The investment in ESS Lewes Project, LLC includes an \$180,000 transformer Amounts transferred to subsidiaries: Alevo Construction Services \$436,438.03 Alevo Texas Project Holdings \$9,779.12 Alevo Delaware Project Holdings \$4,519.00 ESS Lewes Project, LLC \$286,473.97 ESS Wesel Project, LLC \$34,522 ESS Fairground Project \$40,167 EES Snook Project \$492,503.29, 100% ownership. Valuation Method: N/A  CHAPTER 7 TRUSTEE NOTE: It appears that the listed entities are not subsidiaries of Alevo USA. The Trustee asserts that Alevo USA has an equitable interest in certain assets and site improvements at or relating to the Hagerstown, MD Alevo Gridbank project. Alevo USA received \$100,000 in sales revenue per Order entered 9/26/18, Doc #162 allowing the sale of Alevo Gridbank and certain personal property to Innolith. Bootsmead will receive \$90,000 and \$10,000 will remain in the bankruptcy estate per Order to Comp and Settle, Doc #127.	1,180,000.00	1,180,000.00		100,000.00	FA	0.00	0.00

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 2

Case No.: 17-50876

Case Name: ALEVO USA, INC.

Trustee Name: (530270) James Lanik

Date Filed (f) or Converted (c): 02/14/2018 (c)

§ 341(a) Meeting Date: 03/30/2018

For Period Ending: 08/31/2021

Claims Bar Date: 05/15/2018

1 Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=\$554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets	7 Lien Amount	8 Exempt Amount
8 Alevo Analytics, a division of the Debtor, owns a Super Micro Server, Thor Computer Racks, Thor Computer Wiring, Wall mounted TV, laptops, two desktop computers, IBM server rack, project servers, Ikea desks and conference table, chairs, marketing materials, the grid maestro trademark, and various contracts with clients, intellectual property that has been developed by employees, client lists, databases, licenses, domain names, a website, and other developed materials. Approximately \$1.3 million was spent for the Super Micro computer and related assets in Sept. 2014.. Valuation Method: N/A  CHAPTER 7 TRUSTEE NOTE: Tied up with Snook; some assets sold as part of large asset sale; encumbered by DIP loan.	450,000.00	450,000.00		0.00	FA	0.00	0.00
9 The Debtor purchased equipment in the premises that it leases in conjunction with entry into lease. The purchase price of the equipment was \$2.5 million but the equipment was essentially worthless and has been scrapped..  CHAPTER 7 TRUSTEE NOTE: Some assets sold as part of large asset sale; encumbered by DIP loan.	0.00	0.00		0.00	FA	0.00	0.00
10 Lease of 1590 acres in Cabarrus County, NC including manufacturing plant and all other buildings and power plants and other improvements. Effective date of the lease was October 31, 2014 for 30 year term; \$10 million cash deposit. Rent of \$666,666.67 per month, increasing annually to \$1,183,896 per month in year 30.  Cancelled by court order during Chapter 11 bankruptcy.	0.00	0.00		0.00	FA	0.00	0.00
11 Tax Refund - 2015 (u)  Tax refund for year 2015. \$42,244.31 (which is less \$34.45 in bank fees) paid to Bootsmead LeaseCo, LLC who provided post petition loans while Debtor was in Chapter 11.	42,278.76	42,278.76		42,278.76	FA	0.00	0.00
12 Remnant Assets as Listed in Doc No. 118 (u)  Remnant Assets as listed in the Motion to Sell, Doc 118 sold to Bootsmead for \$25,000. Order approving sale entered 8/7/18, Doc #134. Proceeds are to be split between Alevo Manufacturing and Alevo USA.	0.00	0.00		12,500.00	0.00	0.00	0.00
13 Unencumbered funds pursuant to Order #412 in Case #17-50877  \$12,500 - Transfer of funds pursuant to Order (Doc. 412 in Case No. 17-50877). Bulk sale motion was filed while case still administratively consolidated. Cases were split on March 13, 2018. This transfer allocates the funds between the two cases equally as it is impossible to allocate otherwise.	0.00	0.00		12,500.00	0.00	0.00	0.00
14 Preferential Transfer Settlement - Latham Watkins (u)  Order approving settlement between Trustee and Latham & Watkins was entered 2/12/19, Doc #199.	0.00	0.00		13,000.00	0.00	0.00	0.00
15 Preferential Transfer Settlement - CNP Technologies, LLC (u)  All preferential transfer funds belong to Trustee without DIP Lien per order entered 7/20/18, Doc #452. Order approving settlement entered 4/15/19, Doc #222.	3,498.82	3,498.82		3,498.82	FA	0.00	0.00

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Exhibit 8

Page: 3

Case No.: 17-50876

Case Name: ALEVO USA, INC.

Trustee Name: (530270) James Lanik

Date Filed (f) or Converted (c): 02/14/2018 (c)

§ 341(a) Meeting Date: 03/30/2018

For Period Ending: 08/31/2021

Claims Bar Date: 05/15/2018

1 Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=\$554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets	7 Lien Amount	8 Exempt Amount
16 Preferential Transfer Settlement - Customized Energy Solutions, Ltd. (u) All preferential transfer funds belong to Trustee without DIP Lien per order entered 7/20/18, Doc #452. Order approving settlement entered 4/15/19, Doc #221.	7,823.06	7,823.06		7,823.06	0.00	0.00	0.00
17 Void - Duplicate (u)	0.00	0.00		0.00	FA	0.00	0.00
18 Federal Tax Refund - 2017 (u)	8,144.50	8,144.50		8,144.50	FA	0.00	0.00
19 Preferential Transfer Settlement - Red Pepper Group (u) All preferential transfer funds belong to Trustee without DIP Lien per order entered 7/20/18, Doc #452. Order approving settlement entered 4/22/19, Doc #225.	3,500.00	3,500.00		3,500.00	FA	0.00	0.00
20 Federal Tax Refund - 2016 (u)	230,000.00	230,000.00		230,000.00	FA	0.00	0.00
21 Settlement on Directors and Officers Claims (u)	800,000.00	800,000.00		800,000.00	FA	0.00	0.00
22 Settlement payment - J Turbines (u) Settlement payment for all claims of the estate with regard to the Purchase and Sale Agreement with J Turbines, order approving settlement entered 1/10/2020, Doc #266	5,000.00	5,000.00		5,000.00	FA	0.00	0.00
23 Rebate - Wells Fargo FX Transaction Rate (u)	102.47	102.47		102.47	FA	0.00	0.00
<b>23</b> <b>Assets Totals (Excluding unknown values)</b>	<b>\$2,870,316.32</b>	<b>\$2,870,316.32</b>		<b>\$1,246,993.19</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

Trustee Final Report filed 6/2/21

\*Bank account opened.

Initial Projected Date Of Final Report (TFR): 12/31/2019

Current Projected Date Of Final Report (TFR): 06/02/2021 (Actual)

08/31/2021

Date

/s/James Lanik

James Lanik

Copy Served On: Mr. William P. Miller  
Bankruptcy Administrator

## Form 2

Exhibit 9

Page: 1

## Cash Receipts And Disbursements Record

Case No.: 17-50876  
Case Name: ALEVO USA, INC.  
Taxpayer ID #: \*\*-\*\*\*3448  
For Period Ending: 08/31/2021

Trustee Name: James Lanik (530270)  
Bank Name: Mechanics Bank  
Account #: \*\*\*\*\*7100 Checking  
Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
04/10/18	{11}	United States Treasury	Tax Refund 2015	1224-000	42,278.76		42,278.76
04/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		34.45	42,244.31
05/15/18	101	Bootsmead LeaseCo, LLC	2015 Alevo USA tax refund (\$42,278.76) minus \$34.45 (bank fees).	2990-800		42,244.31	0.00
09/18/18	{13}	James C. Lanik, Trustee	Deposited into wrong acct - transferred to Unencumbered Funds Bank Account due to funds not part of DIP Lien. Funds part of large auction proceeds pursuant to Order (Doc. 412 in Case No. 17-50877)	1129-000	12,500.00		12,500.00
09/18/18	{12}	James C. Lanik, Trustee	Deposited into wrong acct - transferred to Unencumbered Funds Bank Account. due to funds not part of DIP Lien. Transfer of 1/2 of proceeds of sale of remnant assets to Bootsmead per order entered 8/7/2018 (Doc. 461 and Doc. 134)	1229-000	12,500.00		25,000.00
09/20/18		To Account #*****7101 /Unencumbered Funds	Transfer of unencumbered Chapter 7 funds per Order, Doc #412, 5/9/18, \$12.5k to 17-50876, \$12.5k to 17-50877	9999-000		12,500.00	12,500.00
09/20/18		To Account #*****7101 / Unencumbered Funds	Transfer of 1/2 proceeds from sale of remnant assets to Bootsmead per order entered 8/7/18 (Doc #461 and #134)	9999-000		12,500.00	0.00
10/10/18	{7}	Innolith US LLC	Revenue from sale of Alevo Gridbank and other personal property relating to Alevo Gridbank from INNOLITH US LLCCIT 20181010B1Q8021C03 1136	1129-000	100,000.00		100,000.00
10/11/18	102	Bootsmead LeaseCo, LLC	90% of sale proceeds from Alevo Gridbank and other personal property, approved by order 9/26/18, Doc. #162.	2990-800		90,000.00	10,000.00
02/12/19		Alevo USA Unencumbered Funds - *****7101	Transfer of funds pursuant to Order (Doc. 162 in Case No. 17-50876). Carveout of proceeds from sale of Alevo Gridbank and other personal property to Innolith.	9999-000		10,000.00	0.00
03/04/19	{2}	Bank of America, N.A.	Balance of the DIP Acct. - B.O.F.A. Bank account closed on 3/5/19 by Trustee.	1129-000	8,645.58		8,645.58
03/13/19		Transfer Debit to Metropolitan Commercial Bank acct *****3198	Transition Debit to Metropolitan Commercial Bank acct XXXXXX3198	9999-000		8,645.58	0.00

## COLUMN TOTALS

175,924.34

175,924.34

\$0.00

Less: Bank Transfers/CDs

0.00

43,645.58

## Subtotal

175,924.34

132,278.76

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

\$175,924.34

\$132,278.76

## Form 2

Exhibit 9

Page: 2

## Cash Receipts And Disbursements Record

Case No.: 17-50876

Trustee Name:

James Lanik (530270)

Case Name: ALEVO USA, INC.

Bank Name:

Mechanics Bank

Taxpayer ID #: \*\*-\*\*\*3448

Account #:

\*\*\*\*\*7101 Alevo USA Unencumbered Funds

For Period Ending: 08/31/2021

Blanket Bond (per case limit): \$2,500,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
09/20/18		To Account #*****7101 /Unencumbered Funds	Transfer of unencumbered Chapter 7 funds per Order, Doc #412, 5/9/18, \$12.5k to 17-50876, \$12.5k to 17-50877	9999-000	12,500.00		12,500.00
09/20/18		To Account #*****7101 / Unencumbered Funds	Transfer of 1/2 proceeds from sale of remnant assets to Bootsmead per order entered 8/7/18 (Doc #461 and #134)	9999-000	12,500.00		25,000.00
09/21/18	101	Iron Horse Auction Company, Inc.	Inv #18-07003, 7/16/18 for moving files and records. Approved by order 8/28/18, Doc #143.	3610-000		900.00	24,100.00
09/21/18	102	James C. Lanik, Trustee	Reimburse Trustee for operating expenses charged to credit card			2,001.50	22,098.50
		CubeSmart	First month's rent on storage unit \$52.00	2420-000			
		CubeSmart 2018	Monthly Storage Rent Jun, July, Aug \$266.61	2420-000			
		U. S. Bankruptcy Court Clerk	Filing fee - motion to sell gridbank \$181.00	2700-000			
		BK Attorney Services, LLC	Service fees for motion to sell gridbank \$1,344.40	2690-000			
		BK Attorney Services, LLC	Service fees for motion to limit notice \$157.49	2690-000			
09/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		6.05	22,092.45
10/17/18	103	Reliance Forensics, LLC	Inv total #1064 & #1105 is \$7,737.66. Split by 17-50876/17-50877. Approved by order entered 10/17/18, Doc #171.	3991-000		3,868.83	18,223.62
10/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		37.64	18,185.98
11/06/18	104	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Aug, Sept, Oct 2018			2,204.95	15,981.03
		Amazon Web Services	Amazon Web Data Storage - August \$500.41	2420-000			
		Amazon Web Services	Amazon Web Data Storage - September \$979.89	2420-000			
		Amazon Web Services	Amazon Web Data Storage - October \$724.65	2420-000			
12/18/18	105	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Nov 2018	2420-000		1,310.21	14,670.82
01/10/19	106	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Dec 2018	2420-000		499.65	14,171.17

Page Subtotals: \$25,000.00 \$10,828.83

## Form 2

Exhibit 9

Page: 3

## Cash Receipts And Disbursements Record

Case No.: 17-50876 Trustee Name: James Lanik (530270)  
Case Name: ALEVO USA, INC. Bank Name: Mechanics Bank  
Taxpayer ID #: \*\*\_\*\*\*\*3448 Account #: \*\*\*\*\*7101 Alevo USA Unencumbered Funds  
For Period Ending: 08/31/2021 Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
01/15/19	107	Reliance Forensics, LLC	Inv total #1181 is \$2,302.08. Split by 17-50876/17-50877. Approved by order entered 1/4/19, Doc #189.	3991-000		1,151.04	13,020.13
02/08/19	108	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Jan 2019	2420-000		499.65	12,520.48
02/12/19		Alevo USA Unencumbered Funds - *****7101	Transfer of funds pursuant to Order (Doc. 162 in Case No. 17-50876). Carveout of proceeds from sale of Alevo Gridbank and other personal property to Innolith.	9999-000	10,000.00		22,520.48
03/04/19	{15}	CNP Technologies, LLC	Preferential Transfer Settlement - CNP Technologies, LLC. All preferential transfer funds belong to Trustee without DIP Lien per order entered 7/20/18, Doc #452. Order approving settlement entered 4/15/19, Doc #222.	1241-000	3,498.82		26,019.30
03/04/19	{16}	Customized Energy Solutions, Ltd.	Preferential Transfer Settlement - Customized Energy Solutions, Ltd. All preferential transfer funds belong to Trustee without DIP Lien per order entered 7/20/18, Doc #452. Order approving settlement entered 4/15/19, Doc #221.	1241-000	7,823.06		33,842.36
03/04/19	109	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Feb 2019	2420-000		499.71	33,342.65
03/08/19	110	PegEx, Inc.	Invoice #00903-2605. Total invoice = \$13,295.82 1/2 #17-50876 & #17-50877. Order entered 3/7/19, Doc #202.	2420-000		6,647.91	26,694.74
03/13/19		Transfer Debit to Metropolitan Commercial Bank acct *****3201	Transition Debit to Metropolitan Commercial Bank acct XXXXXX3201	9999-000		26,694.74	0.00

## COLUMN TOTALS

46,321.88

46,321.88

\$0.00

Less: Bank Transfers/CDs

35,000.00

26,694.74

## Subtotal

11,321.88

19,627.14

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

\$11,321.88

\$19,627.14



## Form 2

Exhibit 9

Page: 4

## Cash Receipts And Disbursements Record

Case No.: 17-50876  
Case Name: ALEVO USA, INC.  
Taxpayer ID #: \*\*-\*\*\*3448  
For Period Ending: 08/31/2021

Trustee Name: James Lanik (530270)  
Bank Name: Metropolitan Commercial Bank  
Account #: \*\*\*\*\*3198 Checking Account  
Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
03/13/19		Transfer Credit from Rabobank, N.A. acct *****7100	Transition Credit from Rabobank, N.A. acct XXXXXX7100	9999-000	8,645.58		8,645.58
04/01/19	{18}	United States Treasury	Tax Refund -2017	1224-000	8,144.50		16,790.08
04/09/19	1000	Bootsmead LeaseCo, LLC	Balance of the DIP Acct. (B.O.F.A.) refunded to Bootsmead who provided Chapter 11 DIP Loans.	2990-800		8,645.58	8,144.50
04/09/19	1001	Bootsmead LeaseCo, LLC	2017 Tax Refund. Bootsmead is the lender of Chapter 11 post petition loans - DIP Loans.	2990-800		8,144.50	0.00
06/24/19	{20}	US Department of Treasury	Tax Refund - 2016	1224-000	230,000.00		230,000.00
06/28/19	1002	Bootsmead LeaseCo, LLC	Alevo USA 2016 Tax Refund	2990-800		230,000.00	0.00

<b>COLUMN TOTALS</b>	<b>246,790.08</b>	<b>246,790.08</b>	<b>\$0.00</b>
Less: Bank Transfers/CDs	8,645.58	0.00	
<b>Subtotal</b>	<b>238,144.50</b>	<b>246,790.08</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$238,144.50</b>	<b>\$246,790.08</b>	

## Form 2

Exhibit 9

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## Cash Receipts And Disbursements Record

Case No.: 17-50876 Trustee Name: James Lanik (530270)  
Case Name: ALEVO USA, INC. Bank Name: Metropolitan Commercial Bank  
Taxpayer ID #: \*\*\_\*\*\*3448 Account #: \*\*\*\*\*3201 Alevo USA Unencumbered Funds  
For Period Ending: 08/31/2021 Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
03/13/19		Transfer Credit from Rabobank, N.A. acct *****7101	Transition Credit from Rabobank, N.A. acct XXXXXX7101	9999-000	26,694.74		26,694.74
03/19/19	{14}	Latham & Watkins	Wire from Latham & Watkins for Settlement Payment. Order approving settlement between Trustee and Latham & Watkins was entered 2/12/19, Doc #199.	1241-000	13,000.00		39,694.74
03/21/19	1000	Edward P. Bowers	Invoice #0013080-IN, 4/02/19 thru 1/17/19, First interim app for accountant approved by order 3/18/19, Doc #211.			14,875.13	24,819.61
		Edward P. Bowers	Invoice #0013080-IN, 4/02/19 thru 1/17/19, First interim app for accountant FEES approved by order entered 3/18/19, Doc #211. \$14,807.63	3410-000			
		Edward P. Bowers	Invoice #0013080-IN, 4/02/19 thru 1/17/19, First interim app for accountant EXPENSES approved by order entered 3/18/19, Doc #211. \$67.50	3420-000			
03/28/19	1001	International Sureties, Ltd.	Bond Term 03/01/19 to 03/01/20, Bond #016036434	2300-000		17.61	24,802.00
04/09/19	1002	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - March 2019	2420-000		499.71	24,302.29
05/05/19	{19}	Producify Inc	Preferential Transfer Settlement - Red Pepper Group. Order approving settlement entered 4/22/19, Doc #225.	1241-000	3,500.00		27,802.29
05/20/19	1003	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - April 2019	2420-000		499.70	27,302.59
06/20/19	1004	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - May 2019	2420-000		499.71	26,802.88
06/20/19	1005	Reliance Forensics, LLC	Invoice #1349, approved by Order 6/12/19, Doc. 231	3991-000		171.87	26,631.01
07/18/19	1006	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - June 2019	2420-000		499.70	26,131.31
08/10/19	1007	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - July 2019	2420-000		499.71	25,631.60
08/21/19	{21}	Nelson Mullins Settlement Payment	Wire payment from Nelson Mullins per Settlement Agreement on Officers and Directors Claims, Order entered 8/19/19, Doc #249 (USA 17-50876) and #602 (Mfg 17-50877)	1249-000	400,000.00		425,631.60
08/31/19	{21}	Wesco Insurance Co	Payment from Wesco per Settlement Agreement on Officers and Directors Claims, Order entered 8/19/19, Doc #249 (USA 17-50876) and #602 (Mfg 17-50877)	1249-000	400,000.00		825,631.60
09/03/19	1008	Reliance Forensics, LLC	Invoice #1396 & #1422, approved by Order 8/23/19, Doc. 251	3991-000		3,502.84	822,128.76

Page Subtotals: \$843,194.74 \$21,065.98

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## Cash Receipts And Disbursements Record

Case No.: 17-50876 Trustee Name: James Lanik (530270)  
Case Name: ALEVO USA, INC. Bank Name: Metropolitan Commercial Bank  
Taxpayer ID #: \*\*-\*\*\*3448 Account #: \*\*\*\*\*3201 Alevo USA Unencumbered Funds  
For Period Ending: 08/31/2021 Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
09/06/19	1009	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - August 2019	2420-000		499.71	821,629.05
10/03/19	1010	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - September 2019	2420-000		499.70	821,129.35
10/21/19	1011	Nexsen Pruet PLLC	Contingency fee \$257,210.04 exps \$3,369.78 split 80% USA/20% Mfg. Approved by Order 10/18/19, Doc #257.			208,463.85	612,665.50
		Nexsen Pruet PLLC	\$257,210.04 in FEES split 80% USA/ 20% Manufacturing. Order approving payment of FEES 10/18/19, Doc #257. \$205,768.03	3210-600			
		Nexsen Pruet PLLC	\$3,369.78 in EXPENSES split 80% USA/ 20% Manufacturing. Order approving payment of EXPENSES 10/18/19, Doc #257. \$2,695.82	3220-610			
10/29/19	1012	Bootsmead LeaseCo, LLC	Settlement funds of claims against Directors. \$462,978.16 total-80% USA \$370,382.53/20% Manufacturing \$92,595.63	2990-800		370,382.53	242,282.97
10/29/19	1013	Bootsmead LeaseCo, LLC	Reimburse special counsel fees \$25,000, split 80% USA/ 20% Manufacturing. Order approving 10/18/19, Doc #257.	2990-800		20,000.00	222,282.97
11/05/19	1014	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - October 2019	2420-000		499.71	221,783.26
12/02/19	1015	Reliance Forensics, LLC	Dash courier charges to move data equip to Alevo storage. Total for Ref. #964.112219 was \$161.84. Costs split.	2420-000		80.92	221,702.34
12/04/19	1016	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - November 2019	2420-000		499.70	221,202.64
01/03/20	1017	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - December 2019	2420-000		499.71	220,702.93
01/15/20	{22}	IOLTA ZANNI & PESCE LAW OFFICE	Settlement payment for all claims of the estate with regard to the Purchase and Sale Agreement with J Turbines, order approving settlement entered 1/10/2020, Doc #266	1249-000	5,000.00		225,702.93
02/11/20	1018	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - January 2020	2420-000		499.70	225,203.23
03/06/20	1019	International Sureties, Ltd.	Payment for Bond #016036434, James Lanik Trustee, 3/1/20 to 3/1/21	2300-000		145.21	225,058.02
03/09/20	1020	Alevo Manufacturing, Inc. Bankruptcy Estate	20% of Settlement Proceeds from Adv. #17-06040. Order approving 3/9/2020, Doc. #274.	2990-002		160,000.00	65,058.02
03/24/20	1021	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Feb 2020	2420-000		499.71	64,558.31
03/31/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		52.10	64,506.21

Page Subtotals: \$5,000.00 \$762,622.55

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## Cash Receipts And Disbursements Record

Case No.: 17-50876

Trustee Name:

James Lanik (530270)

Case Name: ALEVO USA, INC.

Bank Name:

Metropolitan Commercial Bank

Taxpayer ID #: \*\*-\*\*\*3448

Account #:

\*\*\*\*\*3201 Alevo USA Unencumbered Funds

For Period Ending: 08/31/2021

Blanket Bond (per case limit): \$2,500,000.00

Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
04/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		103.11	64,403.10
05/07/20	1022	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - March & April 2020			999.41	63,403.69
		Amazon Web Services	Reimburse credit card - Amazon Web Data Storage - March 2020 \$499.70	2420-000			
		Amazon Web Services	Reimburse credit card - Amazon Web Data Storage - April 2020 \$499.71	2420-000			
05/11/20	{23}	Wells Fargo Bank N.A.	Rebate - Wells Fargo FX Transaction	1290-000	102.47		63,506.16
05/29/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		99.07	63,407.09
06/03/20	1023	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - May 2020	2420-000		499.70	62,907.39
06/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		107.54	62,799.85
07/09/20	1024	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - June 2020	2420-000		499.71	62,300.14
07/31/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		103.26	62,196.88
08/18/20	1025	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - July 2020	2420-000		499.70	61,697.18
08/31/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		95.99	61,601.19
09/16/20	1026	James C. Lanik	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Aug 2020	2420-000		499.71	61,101.48
09/22/20	1027	Edward P. Bowers	Invoice #0013651-IN. Final fees and expenses approved 9/22/20, Doc #296			5,838.61	55,262.87
		Edward P. Bowers	Accountant FEES approved by Order 9/22/20, Doc #296 \$5,618.13	3410-000			
		Edward P. Bowers	Accountant EXPENSES approved by Order 9/22/20, Doc #296 \$220.48	3420-000			
09/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		104.72	55,158.15
10/07/20	1028	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Sept 2020	2420-000		499.70	54,658.45
10/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		87.76	54,570.69
11/30/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		84.31	54,486.38
12/17/20	1029	Trusteed Services, LLC	Invoice dated 10/26/20, approved 12/17/20 Doc #307, split between 17-50876 & 17-50877	2420-000		1,552.50	52,933.88

Page Subtotals:

\$102.47

\$11,674.80

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## Cash Receipts And Disbursements Record

Case No.: 17-50876 Trustee Name: James Lanik (530270)  
Case Name: ALEVO USA, INC. Bank Name: Metropolitan Commercial Bank  
Taxpayer ID #: \*\*-\*\*\*3448 Account #: \*\*\*\*\*3201 Alevo USA Unencumbered Funds  
For Period Ending: 08/31/2021 Blanket Bond (per case limit): \$2,500,000.00  
Separate Bond (if applicable): N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
12/17/20	1030	James C. Lanik, Trustee	Reimburse for operating expenses on credit card - Amazon Web Data Storage - Oct 2020	2420-000		32.24	52,901.64
12/31/20		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		95.80	52,805.84
01/29/21		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		81.80	52,724.04
02/26/21		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		78.86	52,645.18
03/09/21	1031	International Sureties, Ltd.	Bond #016036434 - Blanket Bond 3/1/21 thru 3/1/22	2300-000		38.11	52,607.07
03/31/21		Metropolitan Commercial Bank	Bank and Technology Services Fees	2600-000		92.81	52,514.26
07/15/21	1032	Roberson Haworth & Reese, PLLC	Dividend paid at 100.00% of \$363.24; Claim # EXP-RHR; Order approving entered 6/30/21, Doc #320	3120-000		363.24	52,151.02
07/15/21	1033	Waldrep LLP	Dividend paid at 100.00% of \$413.52; Claim # EXP-WALDREPLLP; Order approving entered 6/30/21, Doc #320	3120-000		413.52	51,737.50
07/15/21	1034	James C. Lanik, Trustee	Dividend paid at 100.00% of \$3,006.64; Claim # TRUSTEXP; Order approving entered 6/30/21, Doc #320	2200-000		3,006.64	48,730.86
07/15/21	1035	Roberson Haworth & Reese, PLLC	Dividend paid at 99.11% of \$5,580.00; Claim # FEES-RHR; Order approving entered 6/30/21, Doc #320	3110-000		5,530.23	43,200.63
07/15/21	1036	Waldrep LLP	Dividend paid at 99.11% of \$41,709.00; Claim # FEES-WALDREPLLP; Order approving entered 6/30/21, Doc #320	3110-000		41,336.91	1,863.72
07/15/21	1037	Waldrep Wall Babcock and Bailey PLLC	Dividend paid at 99.11% of \$1,880.50; Claim # FEES-WALDREPWALL; Order approving entered 6/30/21, Doc #320	3110-000		1,863.72	0.00

## COLUMN TOTALS

848,297.21

848,297.21

\$0.00

Less: Bank Transfers/CDs

26,694.74

0.00

## Subtotal

821,602.47

848,297.21

Less: Payments to Debtors

0.00

## NET Receipts / Disbursements

821,602.47

848,297.21

**Form 2**Exhibit 9  
Page: 9**Cash Receipts And Disbursements Record****Case No.:** 17-50876**Case Name:** ALEVO USA, INC.**Taxpayer ID #:** \*\*-\*\*\*3448**For Period Ending:** 08/31/2021**Trustee Name:** James Lanik (530270)**Bank Name:** Metropolitan Commercial Bank**Account #:** \*\*\*\*\*3201 Alevo USA Unencumbered Funds**Blanket Bond (per case limit):** \$2,500,000.00**Separate Bond (if applicable):** N/A

Net Receipts:	\$1,246,993.19
Plus Gross Adjustments:	\$0.00
Less Payments to Debtor:	\$0.00
Less Other Noncompensable Items:	\$160,000.00
Net Estate:	\$1,086,993.19

<b>TOTAL - ALL ACCOUNTS</b>	<b>NET DEPOSITS</b>	<b>NET DISBURSEMENTS</b>	<b>ACCOUNT BALANCES</b>
*****7100 Checking	\$175,924.34	\$132,278.76	\$0.00
*****7101 Alevo USA Unencumbered Funds	\$11,321.88	\$19,627.14	\$0.00
*****3198 Checking Account	\$238,144.50	\$246,790.08	\$0.00
*****3201 Alevo USA Unencumbered Funds	\$821,602.47	\$848,297.21	\$0.00
	<b>\$1,246,993.19</b>	<b>\$1,246,993.19</b>	<b>\$0.00</b>

08/31/2021

Date

/s/James Lanik

James Lanik